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09/977,159	10/11/2001	Glen Alan Jaquette	TUC920010022US1	3879

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EXAMINER
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WINTER, JOHN M

ART UNIT	PAPER NUMBER
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3621

MAIL DATE	DELIVERY MODE
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09/20/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

Application No.

09/977,159

Applicant(s)

JAQUETTE, GLEN ALAN

Examiner

John M. Winter

Art Unit

3621

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 28 June 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-18 and 20-43 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-18, 20--43 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-18, 20-43 are rejected under 35 U.S.C. 102(e) as being anticipated by Shear et al (US PG Pub 2001/0042043).
3. As per claim 1, 10, 18 and 27, Shear et al teaches A method for enabling access to data in a read/write storage medium within one of a plurality of storage cartridges enabled to be mounted into an interface device, comprising: providing an association of at least one coding key to the plurality of storage cartridges; encrypting the coding key; receiving an Input/Output (I/O) request; decrypting the encrypted coding key in response to the I/O request to use to decode data to be read and code data to be written with respect to stored in the storage medium of at least one of the storage cartridges to perform the received I/O request, wherein multiple interface devices are enabled to decrypt the encrypted coding key to use to decode and code data for the storage cartridges.  
  
(see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

Art Unit: 3621

4. As per claim 2 and 28, Shear et al teach a method of using the coding key to encode data to write to the storage medium; transmitting the encoded data to the interface device to write to the storage medium in one storage cartridge mounted in the interface device; receiving encoded data from the interface device read from the storage medium; and using the coding key to decrypt the received encoded data (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

5. As per claim 3, 20, 29, Shear et al teach a method wherein the association of the at least one coding key to the plurality of storage cartridges associates one key with the plurality of storage cartridges, wherein the one key is capable of being used to encode data written to the storage medium and decode data read from the storage medium of the plurality of storage devices (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

6. As per claim 4, 21, 30, Shear et al teach a method wherein the association of the at least one coding key to the plurality of storage cartridges associates a different key with each storage cartridge, wherein the key associated with one storage cartridge is used to encode data written to the storage medium and decode data read from the storage medium of the storage cartridge (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

7. As per claim 5, 22, 31, Shear et al teach a method wherein the coding key comprises a seed value that is used to generate an additional key that is used to directly decode and encode

Art Unit: 3621

the data in the storage medium in the storage cartridge (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

8. As per claim 6, 32, Shear et al teach a method further comprising: transmitting the encrypted coding key to the interface device, wherein the interface device decrypts the coding key to use to decode and code data stored in the storage medium (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

9. As per claim 7, 33, Shear et al teach a method wherein encrypting the coding key further comprises: encrypting the coding key with a first key, wherein a second key used by the interface device is capable of decrypting the coding key encrypted with the first key (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

10. As per claim 8, 34, Shear et al teach a method wherein encrypting the coding key further comprises: encrypting the coding key with a first key, wherein a second key is capable of decrypting the coding key encrypted with the first key; encrypting the second key with a third key, wherein a fourth key used by the interface device is capable of decrypting data encrypted with the third key; and transmitting the coding key encrypted with the first key and the second key encrypted with the third key to the interface device (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

Art Unit: 3621

11. As per claim 9, 35, Shear et al teach a method wherein encrypting the coding key further comprises: encrypting the coding key with a first key, wherein a second key is capable of decrypting the coding key encrypted with the first key; transmitting the coding key encrypted with the first key to the interface device; receiving, from the interface device, the coding key encrypted with the first key; decrypting the coding key with the second key; encrypting the coding key with a third key, wherein a fourth key used by the interface device is capable of decrypting data encrypted with the third key; and transmitting the coding key encrypted with the third key to the interface device (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

12. As per claim 10, 23 and 36, Shear et al teach a method for accessing data in a removable storage cartridge including a storage medium, comprising: receiving an encrypted coding key from a host system; decrypting the encrypted coding key; using the coding key to encode data to write to the storage medium; and using the coding key to decode data written to the storage (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

13. As per claim 11, 37, Shear et al teach a method wherein encoding the data with the coding key compresses the data and wherein decoding the data written to the storage medium decompresses the data, and wherein the data can only be encoded or decoded using the coding key (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

Art Unit: 3621

14. As per claim 12, 24, 38, Shear et al teach a method wherein the coding key is encrypted by a first key maintained at the host system, further comprising; maintaining a second key that is capable of decrypting data encrypted using the first key, wherein the second key is used to decrypt the coding key encrypted with the first key (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

15. As per claim 13, 39, Shear et al teach a method wherein the second key is stored in an integrated circuit non-volatile memory that is only accessible to decrypting logic that uses the second key to decrypt data encrypted using the first key (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

16. As per claim 14, 25, 40, Shear et al teach a method further comprising transmitting the coding key decrypted using the decrypting logic to encoder/decoder logic, wherein the encoder/decoder logic uses the coding key to encode and decode data to the storage medium (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

17. As per claim 15, 26, 41, Shear et al teach a method comprising: storing the coding key encrypted with the first key within the storage cartridge; receiving an input/output (I/O) request directed to the storage cartridge; and accessing the encrypted coding key from the storage cartridge, wherein the accessed coding key is decrypted using the second key, and wherein the decrypted coding key is used to encode and decode data to execute the I/O request to the storage

Art Unit: 3621

cartridge (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

18. As per claim 16, 42, Shear et al teach a method wherein the received encrypted coding key is encrypted by a first key maintained at the host system, wherein the host system maintains a second key that is capable of decrypting data encrypted using the first key, further comprising: receiving, from the host system, the second key encrypted by the host system using a third key, wherein data encrypted using the third key is capable of being decrypted using a fourth key; accessing the fourth key; using the fourth key to decrypt the encrypted second key received from the host system; and using the decrypted second key to decrypt the received coding key encrypted using the first key (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).

19. As per claim 17 and 43, Shear et al teach a method wherein the coding key is encrypted by a first key maintained at the host system, wherein the host system maintains a second key that is capable of decrypting data encrypted using the first key, further comprising: transmitting the encrypted coding key received from the host system back to the host system; and in response to transmitting the encrypted coding key back to the host system, receiving, from the host system, the coding key encrypted using a third key, wherein data encrypted using the third key is decrypted using a fourth key; and accessing the fourth key, wherein the coding key is decrypted using the fourth key (see figs 1A, 1B, 1C, paragraphs 0078-0081, 0127-0138, 0183, 0193-0199, 0216-0220).



Art Unit: 3621

***Response to Arguments***

20. Applicant's arguments with respect to claims 1-43 have been considered.

The Examiner contends that the amended features are directed towards intended usage of the device.

***Conclusion***

Examiners note: Examiner has cited particular columns and line numbers in the references as applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the examiner.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John M. Winter whose telephone number is (571) 272-6713. The examiner can normally be reached on M-F 8:30-6, 1st Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Fischer can be reached on (571) 272-6779. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

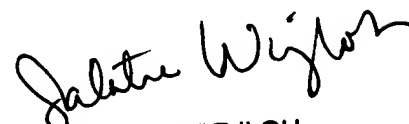
Art Unit: 3621

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John Winter

Patent Examiner -- 3621



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PRIMARY EXAMINER